



**WER we are and WER we think we are?**

EMNLP 2020

**Rethinking Evaluation in ASR: Are our models robust  
Enough?**

Interspeech 2021

**The History of Speech Recognition to the Year 2030**

By Awni Hannun

Erfan Loweimi



## WER we are and WER we think we are

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## EMNLP 2020

# Quantifying the ASR-NLP Gap

- Is ASR a solved problem? Depends ...
- *Quality* of SOTA ASR systems is over-estimated ...
  - $WER_{\text{Real-world}}$  vs  $WER_{\text{Research Benchmarks}}$ 
    - H2H\* Spontaneous conversation
    - (semi-)scripted, read, artificial conversation
- Benchmarks ... demographically homogeneous ... reliable real-world diversity representative?

# Human-Human vs Human-Machine

- **Human-machine interaction** ... artificial & static
  - Simplified/short utterances, well-structured phrases, correct grammar, interrogative or imperative (request/response)
- **Human-human interaction** ... natural & dynamic
  - Disfluencies, lack clear borders, incorrect termination, richer vocabulary, communicate via non-verbal channels, etc.
- **Acoustically distinguishable** → 81% accuracy (Alexa)

# Experimental Setup

- Compare  $WER_{\text{Real-world}}$  vs  $WER_{\text{Research Benchmarks}}$ 
  - using 3 commercial SOTA ASR [telephone speech]
- Real-world proxy
  - Data from 50 call centre conversations (CCC)
  - 8 kHz, 2.2h speech, #utter: 1595+1361, avg #wrds/utt: 10
- Research Benchmarks proxy
  - Hub'05 [SWBD + CallHome]

# Real-world vs Research Benchmarks

## Performance gap

| ASR             | CCC  | SWBD  | CallHome |
|-----------------|------|-------|----------|
| ASR 1           | 17.9 | 11.62 | 17.69    |
| ASR 2           | 19.2 | 11.45 | 18.6     |
| ASR 3           | 16.5 | 10.2  | 15.85    |
| Kaldi (Hybrid): |      | 8.8%  | 13.5%    |
| SAHR* (E2E):    |      | 6.7%  | 13.7%    |
| SOTA**:         |      | 5.0%  | 9.0%     |

|             | ASR 1 | ASR 2 | ASR 3 |
|-------------|-------|-------|-------|
| Booking     | 21.19 | 22.16 | 20.95 |
| Finance     | 16.82 | 18.46 | 15.83 |
| Insurance 1 | 18.01 | 20.20 | 17.84 |
| Insurance 2 | 15.25 | 17.11 | 13.73 |
| Telecomm.   | 19.75 | 23.31 | 17.62 |
| Agent       | 16.97 | 17.83 | 16.49 |
| Customer    | 17.87 | 20.99 | 16.48 |

- \* Commercial ASR WER is 2X SOTA. Why?
  - General acoustic and language model
  - [5-min chunks + SAD] vs oracle segmentation

Domain ↔ Performance  
 Why WER for booking is high?



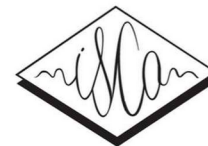
# Conclusion

- ASR for spontaneous human-human conversation is challenging!
  - $WER_{\text{Real-world}} > [ > ] WER_{\text{Research Benchmarks}}$
- Call to action
  - Crowd-sourcing → Mozilla Common Voice → phone calls + transcription donation
  - Construct new ASR quality measures
  - Designing joint ASR+NLP tasks
  - ...



*INTERSPEECH 2021*

30 August – 3 September, 2021, Brno, Czechia



## **Rethinking Evaluation in ASR: Are Our Models Robust Enough?**

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# Motivation – Research Question ...

- “ ... *Are our models robust enough?*”
  - Is pushing numbers on a single benchmark practically valuable?
  - Is WER on a single benchmark a good proxy for performance on real-world data?
  - Does ASR progress on research benchmarks mean progress in ASR over real-world applications?

# Motivation – Robustness Means ...

- **Q:** Are our models *robust* enough?
- Robustness ↔ Handling Mismatch
  - Acoustic mismatch → noise (Additive, Channel, Reverberation)
  - Domain/Genre mismatch
  - Research/Real-world mismatch (this paper)
- Robustness (AM\*) ↔ Generalisation (ML\*\*)

\* AM: Acoustic Modelling

\*\* ML: Machine learning

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# This paper ...

- **Goal:** Study ...
  - Generalisation from research to real-life
  - Practical usefulness of low WER<sub>Research Benchmark</sub>
- **How:**
  - Build SOTA AM/LM using single/joint research dataset(s)
  - Evaluate on various research/real-world datasets
  - ... investigate ASR knowledge transfer ...

# Experimental Setup

- Acoustic model:
  - Architecture: Transformer (36T blocks with 4 heads,  $d_{\text{model}}=762$ )
  - Training dataset: Single & Joint (+ Fine-tuning: 1h, 10h, 100h)
  - Loss: CTC; Decoding: greedy & beam-search
- Optimiser: Adagrad + LR decay factor 2 (WER plateau)
- Dropout (SA and FFN) + layer drop (FFN)
- Token set: 26 Eng. letters + apostrophe + word boundary
- Data augmentation: SpecAug (freq + time masking)
- Toolkit: Kaldi, Flashlight & wav2letter++

# Datasets (1)

| Data   | kHz | Train (h) | Valid (h) | Test (h)       | Speech   |
|--------|-----|-----------|-----------|----------------|----------|
| WSJ    | 16  | 81.5      | 1.1       | 0.7            | read     |
| TL     | 16  | 452       | 1.6       | 2.6            | oratory  |
| CV     | 48  | 693       | 27.1      | 25.8           | read     |
| LS     | 16  | 960       | 5.1+5.4   | 5.4+5.4        | read     |
| SB+FSH | 8   | 300+2k    | 6.3       | 1.7+2.1        | convers. |
| RV     | 16  | 5k        | 14.4      | 18.8+19.5+37.2 | diverse  |

- **Research**

- **WSJ** (Read), **TED LIUM** (oratory), Mozilla **Common Voice** (Read), **LibriSpeech** (Read), **SwitchBoard** (telephone conversation)

- **Real-world**

- Facebook's in-house **Robust Video [RV]** (social media)

# Datasets (2)

| Data   | Sec                        |                            |                           | Words                        |                              |                             | #wrds/sec |
|--------|----------------------------|----------------------------|---------------------------|------------------------------|------------------------------|-----------------------------|-----------|
|        | Train $\mu \pm \sigma$ (s) | Valid $\mu \pm \sigma$ (s) | Test $\mu \pm \sigma$ (s) | Train $\mu \pm \sigma$ (wrd) | Valid $\mu \pm \sigma$ (wrd) | Test $\mu \pm \sigma$ (wrd) |           |
| WSJ    | 7.8 ± 2.9                  | 7.8 ± 2.9                  | 7.6 ± 2.5                 | 17 ± 7                       | 16 ± 7                       | 17 ± 6                      | 2.1       |
| TL     | 6 ± 3                      | 11.3 ± 5.7                 | 8.1 ± 4.3                 | 17 ± 10                      | 35 ± 20                      | 24 ± 15                     | 3.0       |
| CV     | 5.7 ± 1.6                  | 6.1 ± 1.8                  | 5.8 ± 2.6                 | 10 ± 3                       | 10 ± 3                       | 9 ± 3                       | 1.6       |
| LS     | 12.3 ± 3.8                 | 6.8 ± 4.5                  | 7 ± 4.8                   | 33 ± 12                      | 19 ± 13                      | 19 ± 13                     | 2.7       |
| SB+FSH | 3.7 ± 3.2                  | 4 ± 3.1                    | 2.1 ± 1.7                 | 11 ± 12                      | 12 ± 12                      | 8 ± 8                       | 3.0       |
| RV     | 8.5 ± 1.9                  | 11.6 ± 2.8                 | 11.6 ± 2.7                | 21 ± 10                      | 25 ± 13                      | 29 ± 12                     | 2.3       |

- **Research**

- **WSJ** (Read), **TED LIUM** (oratory), Mozilla **Common Voice** (Read), **LibriSpeech** (Read), **SwitchBoard** (telephone conversation)

- **Real-world**

- Facebook’s in-house **Robust Video** (social media)

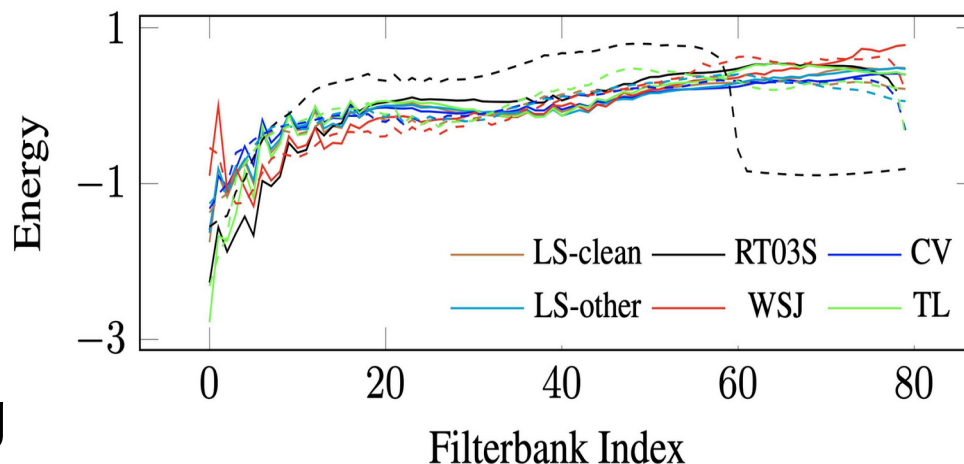
# Language Model

- Architecture:
  - N-gram (1<sup>st</sup> pass), KN, 4-gram
  - Transformer (2<sup>nd</sup> pass)
    - Arch: Google Billion Words
- Data:
  - in-domain: Training corpus + Original LM
  - Generic: Common Crawl (CC)

| Data/Vocab | in-dom. <i>n</i> -gram |         | in-dom. Transf. |       | CC 4-gram |         |
|------------|------------------------|---------|-----------------|-------|-----------|---------|
|            | Valid                  | Test    | Valid           | Test  | Valid     | Test    |
| WSJ/162K   | 159                    | 134     | 83              | 65    | 297       | 285     |
| TL/200k    | 119                    | 149     | 79              | 81    | 142       | 136     |
| CV/168K    | 359                    | 329     | 256             | 240   | 213       | 157     |
| LS/200K    | 155/147                | 164/154 | 48/50           | 52/50 | 258/258   | 244/249 |
| SB+FSH/64K | 124                    | 114/112 | 91              | 82/85 | 221       | 199/153 |
| RV/200K    | 158                    | 146     | -               | -     | 249       | 204     |

# Unifying Audios

- Downsample all to 8kHz
- Similar FBank feature distribution (MVN per utterance)
- **Note:** Vanilla Up/Down sampling **reduces** the performance
  - SB: 8 → 16 kHz →  $\Delta\text{WER} = + 1\%_{\text{abs}}$
  - LS: 16 → 8 kHz →  $\Delta\text{WER} = + 0.2\%_{\text{abs}}$





# Experimental Results (0)

| Train    | WSJ   |       | TL    |      | CV    |      | LS    |        |       |        | SB+FSH |      |      | average |      |
|----------|-------|-------|-------|------|-------|------|-------|--------|-------|--------|--------|------|------|---------|------|
|          | nov93 | nov92 | valid | test | valid | test | dev-c | test-c | dev-o | test-o | RT03S  | SB   | CH   | valid   | test |
| SOTA     |       | 2.8   | 5.1   | 5.6  |       |      |       | 1.9    |       | 3.9    | 8.0    | 5.0  | 9.1  |         |      |
| WSJ      | 13.3  | 11.5  | 42.9  | 41.7 | 70.7  | 76.3 | 31.1  | 30.6   | 52.2  | 53.5   | 65.9   | 57.3 | 63.1 | 46.9    | 46.4 |
|          | 8.1   | 6.4   | 28.4  | 28.9 | 54.5  | 61.7 | 16.4  | 16.7   | 36.8  | 38.7   | 52.3   | 44.2 | 49.7 | 34.0    | 34.3 |
|          | 6.4   | 5.2   | 26.7  | 26.8 | 52.8  | 60.2 | 12.8  | 13.3   | 33.8  | 35.9   | 49.8   | 42.2 | 47.2 | 31.8    | 32.3 |
| TL       | 12.9  | 10.7  | 7.4   | 7.5  | 30.8  | 34.7 | 9.7   | 9.8    | 20.0  | 20.4   | 28.3   | 20.0 | 28.4 | 18.9    | 18.4 |
|          | 10.0  | 6.2   | 6.1   | 6.4  | 23.0  | 27.1 | 5.7   | 6.1    | 13.5  | 14.3   | 23.9   | 16.5 | 24.5 | 14.5    | 14.1 |
|          | 6.9   | 5.4   | 5.8   | 6.0  | 22.0  | 26.1 | 4.0   | 4.5    | 10.1  | 11.7   | 23.3   | 16.6 | 24.8 | 13.0    | 13.3 |
| CV       | 12.1  | 9.0   | 46.4  | 30.0 | 13.1  | 16.9 | 19.2  | 20.9   | 25.3  | 27.0   | 47.8   | 39.7 | 43.6 | 28.3    | 24.3 |
|          | 6.7   | 4.1   | 38.2  | 23.4 | 10.8  | 13.8 | 14.3  | 16.1   | 18.3  | 20.1   | 37.1   | 29.9 | 34.2 | 21.8    | 18.3 |
|          | 5.7   | 3.6   | 37.7  | 21.8 | 10.7  | 13.6 | 12.6  | 14.5   | 15.9  | 17.7   | 35.3   | 28.0 | 32.9 | 20.7    | 17.1 |
| LS-960   | 13.6  | 11.0  | 12.7  | 13.4 | 30.0  | 34.1 | 2.8   | 2.8    | 7.1   | 7.1    | 36.4   | 27.1 | 33.8 | 19.5    | 18.8 |
|          | 7.1   | 3.8   | 7.8   | 9.4  | 18.8  | 22.5 | 2.0   | 2.5    | 5.3   | 5.6    | 27.5   | 19.3 | 26.4 | 13.0    | 12.5 |
|          | 4.9   | 3.6   | 7.3   | 8.6  | 18.1  | 22.0 | 1.5   | 2.1    | 4.3   | 4.7    | 25.9   | 18.3 | 25.3 | 11.8    | 11.9 |
| SB+FSH   | 12.1  | 11.5  | 14.9  | 12.8 | 42.6  | 45.7 | 14.1  | 15.0   | 28.6  | 29.2   | 12.8   | 7.7  | 12.0 | 20.8    | 20.4 |
|          | 6.4   | 5.2   | 8.5   | 8.8  | 31.7  | 36.0 | 7.1   | 7.9    | 19.1  | 20.4   | 10.4   | 6.5  | 10.3 | 14.0    | 14.5 |
|          | 5.1   | 3.9   | 8.1   | 8.2  | 29.8  | 34.3 | 4.6   | 5.7    | 16.1  | 17.5   | 10.3   | 6.4  | 10.4 | 12.7    | 13.3 |
| Joint    | 4.5   | 3.4   | 6.9   | 6.9  | 13.1  | 15.5 | 3.0   | 3.0    | 7.3   | 7.3    | 11.7   | 6.3  | 10.7 | 8.3     | 7.9  |
|          | 3.1   | 2.0   | 5.4   | 5.7  | 10.5  | 12.6 | 2.0   | 2.5    | 5.2   | 5.6    | 9.8    | 5.9  | 9.5  | 6.5     | 6.4  |
|          | 2.9   | 2.1   | 5.1   | 5.2  | 10.3  | 12.3 | 1.4   | 2.1    | 4.1   | 4.4    | 9.7    | 5.8  | 9.3  | 6.2     | 6.1  |
| Joint CC | 4.0   | 2.8   | 5.6   | 5.7  | 8.9   | 10.6 | 3.1   | 3.0    | 6.0   | 6.0    | 10.0   | 5.5  | 9.1  | 6.6     | 6.2  |

- \* Greedy decoding ... No LM
- \* Beam-search decoding ... (first pass) in-domain n-gram LM
- \* Beam-search decoding ... second pass rescoring by in-domain Transformer
- \* Joint CC → Joint, decoding with 4-gram
- \* Average of average (same weight for all datasets)

# WSJ ...

| Train    | WSJ   |       | TL    |      | CV    |      | LS    |        |       |        | SB+FSH |      |      | average |      |  |
|----------|-------|-------|-------|------|-------|------|-------|--------|-------|--------|--------|------|------|---------|------|--|
|          | nov93 | nov92 | valid | test | valid | test | dev-c | test-c | dev-o | test-o | RT03S  | SB   | CH   | valid   | test |  |
| SOTA     | 2.8   |       | 5.1   | 5.6  | 1.9   |      |       |        | 3.9   |        |        | 8.0  | 5.0  | 9.1     |      |  |
| WSJ      | 13.3  | 11.5  | 42.9  | 41.7 | 70.7  | 76.3 | 31.1  | 30.6   | 52.2  | 53.5   | 65.9   | 57.3 | 63.1 | 46.9    | 46.4 |  |
|          | 8.1   | 6.4   | 28.4  | 28.9 | 54.5  | 61.7 | 16.4  | 16.7   | 36.8  | 38.7   | 52.3   | 44.2 | 49.7 | 34.0    | 34.3 |  |
|          | 6.4   | 5.2   | 26.7  | 26.8 | 52.8  | 60.2 | 12.8  | 13.3   | 33.8  | 35.9   | 49.8   | 42.2 | 47.2 | 31.8    | 32.3 |  |
| TL       | 12.9  | 10.7  | 7.4   | 7.5  | 30.8  | 34.7 | 9.7   | 9.8    | 20.0  | 20.4   | 28.3   | 20.0 | 28.4 | 18.9    | 18.4 |  |
|          | 10.0  | 6.2   | 6.1   | 6.4  | 23.0  | 27.1 | 5.7   | 6.1    | 13.5  | 14.3   | 23.9   | 16.5 | 24.5 | 14.5    | 14.1 |  |
|          | 6.9   | 5.4   | 5.8   | 6.0  | 22.0  | 26.1 | 4.0   | 4.5    | 10.1  | 11.7   | 23.3   | 16.6 | 24.8 | 13.0    | 13.3 |  |
| CV       | 12.1  | 9.0   | 46.4  | 30.0 | 13.1  | 16.9 | 19.2  | 20.9   | 25.3  | 27.0   | 47.8   | 39.7 | 43.6 | 28.3    | 24.3 |  |
|          | 6.7   | 4.1   | 38.2  | 23.4 | 10.8  | 13.8 | 14.3  | 16.1   | 18.3  | 20.1   | 37.1   | 29.9 | 34.2 | 21.8    | 18.3 |  |
|          | 5.7   | 3.6   | 37.7  | 21.8 | 10.7  | 13.6 | 12.6  | 14.5   | 15.9  | 17.7   | 35.3   | 28.0 | 32.9 | 20.7    | 17.1 |  |
| LS-960   | 13.6  | 11.0  | 12.7  | 13.4 | 30.0  | 34.1 | 2.8   | 2.8    | 7.1   | 7.1    | 36.4   | 27.1 | 33.8 | 19.5    | 18.8 |  |
|          | 7.1   | 3.8   | 7.8   | 9.4  | 18.8  | 22.5 | 2.0   | 2.5    | 5.3   | 5.6    | 27.5   | 19.3 | 26.4 | 13.0    | 12.5 |  |
|          | 4.9   | 3.6   | 7.3   | 8.6  | 18.1  | 22.0 | 1.5   | 2.1    | 4.3   | 4.7    | 25.9   | 18.3 | 25.3 | 11.8    | 11.9 |  |
| SB+FSH   | 12.1  | 11.5  | 14.9  | 12.8 | 42.6  | 45.7 | 14.1  | 15.0   | 28.6  | 29.2   | 12.8   | 7.7  | 12.0 | 20.8    | 20.4 |  |
|          | 6.4   | 5.2   | 8.5   | 8.8  | 31.7  | 36.0 | 7.1   | 7.9    | 19.1  | 20.4   | 10.4   | 6.5  | 10.3 | 14.0    | 14.5 |  |
|          | 5.1   | 3.9   | 8.1   | 8.2  | 29.8  | 34.3 | 4.6   | 5.7    | 16.1  | 17.5   | 10.3   | 6.4  | 10.4 | 12.7    | 13.3 |  |
| Joint    | 4.5   | 3.4   | 6.9   | 6.9  | 13.1  | 15.5 | 3.0   | 3.0    | 7.3   | 7.3    | 11.7   | 6.3  | 10.7 | 8.3     | 7.9  |  |
|          | 3.1   | 2.0   | 5.4   | 5.7  | 10.5  | 12.6 | 2.0   | 2.5    | 5.2   | 5.6    | 9.8    | 5.9  | 9.5  | 6.5     | 6.4  |  |
|          | 2.9   | 2.1   | 5.1   | 5.2  | 10.3  | 12.3 | 1.4   | 2.1    | 4.1   | 4.4    | 9.7    | 5.8  | 9.3  | 6.2     | 6.1  |  |
| Joint CC | 4.0   | 2.8   | 5.6   | 5.7  | 8.9   | 10.6 | 3.1   | 3.0    | 6.0   | 6.0    | 10.0   | 5.5  | 9.1  | 6.6     | 6.2  |  |

- \* **Poor** (the worst) ASR performance transfer from WSJ to others
- Why? *Domain overfitting* ...
- ... amount of data (81h), too clean, limited variability, etc.

# TL, SB+FSH and LibriSpeech

| Train    | WSJ   |       | TL    |      | CV    |      | LS    |        |       |        | SB+FSH |      |      | average |      |
|----------|-------|-------|-------|------|-------|------|-------|--------|-------|--------|--------|------|------|---------|------|
|          | nov93 | nov92 | valid | test | valid | test | dev-c | test-c | dev-o | test-o | RT03S  | SB   | CH   | valid   | test |
| SOTA     |       | 2.8   | 5.1   | 5.6  |       |      |       | 1.9    |       | 3.9    | 8.0    | 5.0  | 9.1  |         |      |
| WSJ      | 13.3  | 11.5  | 42.9  | 41.7 | 70.7  | 76.3 | 31.1  | 30.6   | 52.2  | 53.5   | 65.9   | 57.3 | 63.1 | 46.9    | 46.4 |
|          | 8.1   | 6.4   | 28.4  | 28.9 | 54.5  | 61.7 | 16.4  | 16.7   | 36.8  | 38.7   | 52.3   | 44.2 | 49.7 | 34.0    | 34.3 |
|          | 6.4   | 5.2   | 26.7  | 26.8 | 52.8  | 60.2 | 12.8  | 13.3   | 33.8  | 35.9   | 49.8   | 42.2 | 47.2 | 31.8    | 32.3 |
| TL       | 12.9  | 10.7  | 7.4   | 7.5  | 30.8  | 34.7 | 9.7   | 9.8    | 20.0  | 20.4   | 28.3   | 20.0 | 28.4 | 18.9    | 18.4 |
|          | 10.0  | 6.2   | 6.1   | 6.4  | 23.0  | 27.1 | 5.7   | 6.1    | 13.5  | 14.3   | 23.9   | 16.5 | 24.5 | 14.5    | 14.1 |
|          | 6.9   | 5.4   | 5.8   | 6.0  | 22.0  | 26.1 | 4.0   | 4.5    | 10.1  | 11.7   | 23.3   | 16.6 | 24.8 | 13.0    | 13.3 |
| CV       | 12.1  | 9.0   | 46.4  | 30.0 | 13.1  | 16.9 | 19.2  | 20.9   | 25.3  | 27.0   | 47.8   | 39.7 | 43.6 | 28.3    | 24.3 |
|          | 6.7   | 4.1   | 38.2  | 23.4 | 10.8  | 13.8 | 14.3  | 16.1   | 18.3  | 20.1   | 37.1   | 29.9 | 34.2 | 21.8    | 18.3 |
|          | 5.7   | 3.6   | 37.7  | 21.8 | 10.7  | 13.6 | 12.6  | 14.5   | 15.9  | 17.7   | 35.3   | 28.0 | 32.9 | 20.7    | 17.1 |
| LS-960   | 13.6  | 11.0  | 12.7  | 13.4 | 30.0  | 34.1 | 2.8   | 2.8    | 7.1   | 7.1    | 36.4   | 27.1 | 33.8 | 19.5    | 18.8 |
|          | 7.1   | 3.8   | 7.8   | 9.4  | 18.8  | 22.5 | 2.0   | 2.5    | 5.3   | 5.6    | 27.5   | 19.3 | 26.4 | 13.0    | 12.5 |
|          | 4.9   | 3.6   | 7.3   | 8.6  | 18.1  | 22.0 | 1.5   | 2.1    | 4.3   | 4.7    | 25.9   | 18.3 | 25.3 | 11.8    | 11.9 |
| SB+FSH   | 12.1  | 11.5  | 14.9  | 12.8 | 42.6  | 45.7 | 14.1  | 15.0   | 28.6  | 29.2   | 12.8   | 7.7  | 12.0 | 20.8    | 20.4 |
|          | 6.4   | 5.2   | 8.5   | 8.8  | 31.7  | 36.0 | 7.1   | 7.9    | 19.1  | 20.4   | 10.4   | 6.5  | 10.3 | 14.0    | 14.5 |
|          | 5.1   | 3.9   | 8.1   | 8.2  | 29.8  | 34.3 | 4.6   | 5.7    | 16.1  | 17.5   | 10.3   | 6.4  | 10.4 | 12.7    | 13.3 |
| Joint    | 4.5   | 3.4   | 6.9   | 6.9  | 13.1  | 15.5 | 3.0   | 3.0    | 7.3   | 7.3    | 11.7   | 6.3  | 10.7 | 8.3     | 7.9  |
|          | 3.1   | 2.0   | 5.4   | 5.7  | 10.5  | 12.6 | 2.0   | 2.5    | 5.2   | 5.6    | 9.8    | 5.9  | 9.5  | 6.5     | 6.4  |
|          | 2.9   | 2.1   | 5.1   | 5.2  | 10.3  | 12.3 | 1.4   | 2.1    | 4.1   | 4.4    | 9.7    | 5.8  | 9.3  | 6.2     | 6.1  |
| Joint CC | 4.0   | 2.8   | 5.6   | 5.7  | 8.9   | 10.6 | 3.1   | 3.0    | 6.0   | 6.0    | 10.0   | 5.5  | 9.1  | 6.6     | 6.2  |

\* Average-wise (1): TL and SB+FSH ... perform on par ...

\* Average-wise (2): LibriSpeech ... single ... best ...

→ Why? Data amount (960h) + variability (clean + other)

# Joint AM + CC (generic) LM

| Train    | WSJ   |       | TL    |      | CV    |      | LS    |        |       |        | SB+FSH |      |      | average |      |  |
|----------|-------|-------|-------|------|-------|------|-------|--------|-------|--------|--------|------|------|---------|------|--|
|          | nov93 | nov92 | valid | test | valid | test | dev-c | test-c | dev-o | test-o | RT03S  | SB   | CH   | valid   | test |  |
| SOTA     | 2.8   |       | 5.1   | 5.6  |       |      |       |        | 1.9   |        | 3.9    | 8.0  | 5.0  | 9.1     |      |  |
| WSJ      | 13.3  | 11.5  | 42.9  | 41.7 | 70.7  | 76.3 | 31.1  | 30.6   | 52.2  | 53.5   | 65.9   | 57.3 | 63.1 | 46.9    | 46.4 |  |
|          | 8.1   | 6.4   | 28.4  | 28.9 | 54.5  | 61.7 | 16.4  | 16.7   | 36.8  | 38.7   | 52.3   | 44.2 | 49.7 | 34.0    | 34.3 |  |
|          | 6.4   | 5.2   | 26.7  | 26.8 | 52.8  | 60.2 | 12.8  | 13.3   | 33.8  | 35.9   | 49.8   | 42.2 | 47.2 | 31.8    | 32.3 |  |
| TL       | 12.9  | 10.7  | 7.4   | 7.5  | 30.8  | 34.7 | 9.7   | 9.8    | 20.0  | 20.4   | 28.3   | 20.0 | 28.4 | 18.9    | 18.4 |  |
|          | 10.0  | 6.2   | 6.1   | 6.4  | 23.0  | 27.1 | 5.7   | 6.1    | 13.5  | 14.3   | 23.9   | 16.5 | 24.5 | 14.5    | 14.1 |  |
|          | 6.9   | 5.4   | 5.8   | 6.0  | 22.0  | 26.1 | 4.0   | 4.5    | 10.1  | 11.7   | 23.3   | 16.6 | 24.8 | 13.0    | 13.3 |  |
| CV       | 12.1  | 9.0   | 46.4  | 30.0 | 13.1  | 16.9 | 19.2  | 20.9   | 25.3  | 27.0   | 47.8   | 39.7 | 43.6 | 28.3    | 24.3 |  |
|          | 6.7   | 4.1   | 38.2  | 23.4 | 10.8  | 13.8 | 14.3  | 16.1   | 18.3  | 20.1   | 37.1   | 29.9 | 34.2 | 21.8    | 18.3 |  |
|          | 5.7   | 3.6   | 37.7  | 21.8 | 10.7  | 13.6 | 12.6  | 14.5   | 15.9  | 17.7   | 35.3   | 28.0 | 32.9 | 20.7    | 17.1 |  |
| LS-960   | 13.6  | 11.0  | 12.7  | 13.4 | 30.0  | 34.1 | 2.8   | 2.8    | 7.1   | 7.1    | 36.4   | 27.1 | 33.8 | 19.5    | 18.8 |  |
|          | 7.1   | 3.8   | 7.8   | 9.4  | 18.8  | 22.5 | 2.0   | 2.5    | 5.3   | 5.6    | 27.5   | 19.3 | 26.4 | 13.0    | 12.5 |  |
|          | 4.9   | 3.6   | 7.3   | 8.6  | 18.1  | 22.0 | 1.5   | 2.1    | 4.3   | 4.7    | 25.9   | 18.3 | 25.3 | 11.8    | 11.9 |  |
| SB+FSH   | 12.1  | 11.5  | 14.9  | 12.8 | 42.6  | 45.7 | 14.1  | 15.0   | 28.6  | 29.2   | 12.8   | 7.7  | 12.0 | 20.8    | 20.4 |  |
|          | 6.4   | 5.2   | 8.5   | 8.8  | 31.7  | 36.0 | 7.1   | 7.9    | 19.1  | 20.4   | 10.4   | 6.5  | 10.3 | 14.0    | 14.5 |  |
|          | 5.1   | 3.9   | 8.1   | 8.2  | 29.8  | 34.3 | 4.6   | 5.7    | 16.1  | 17.5   | 10.3   | 6.4  | 10.4 | 12.7    | 13.3 |  |
| Joint    | 4.5   | 3.4   | 6.9   | 6.9  | 13.1  | 15.5 | 3.0   | 3.0    | 7.3   | 7.3    | 11.7   | 6.3  | 10.7 | 8.3     | 7.9  |  |
|          | 3.1   | 2.0   | 5.4   | 5.7  | 10.5  | 12.6 | 2.0   | 2.5    | 5.2   | 5.6    | 9.8    | 5.9  | 9.5  | 6.5     | 6.4  |  |
|          | 2.9   | 2.1   | 5.1   | 5.2  | 10.3  | 12.3 | 1.4   | 2.1    | 4.1   | 4.4    | 9.7    | 5.8  | 9.3  | 6.2     | 6.1  |  |
| Joint CC | 4.0   | 2.8   | 5.6   | 5.7  | 8.9   | 10.6 | 3.1   | 3.0    | 6.0   | 6.0    | 10.0   | 5.5  | 9.1  | 6.6     | 6.2  |  |

- \* **Joint** acoustic model → better than single.mdl per dataset
- \* Joint AM + generic **CC LM** is ~ as good as Joint AM + in-domain LM

# Research to Real-world Transfer

- **Baseline:**
  - Train/Dev/Test: RV [real-world data]
- **Single**
  - **WSJ** ... poorest transfer
  - **TL** ... best transfer
- **Joint**
  - **Slightly worse** than baseline
  - FT + 1h ~ on par w/ baseline
  - FT+ **10/100h** → better than baseline

| Train                       | LM      | Valid | Test  |       |         |
|-----------------------------|---------|-------|-------|-------|---------|
|                             |         |       | clean | noisy | extreme |
| RV                          | -       | 18.4  | 17.1  | 22.4  | 31.8    |
|                             | in-dom. | 12.8  | 15.7  | 20.9  | 29.8    |
| WSJ                         | -       | 69.6  | 67.7  | 74.3  | 84.8    |
|                             | in-dom. | 56    | 54.9  | 62.4  | 71.8    |
| TL                          | -       | 29.5  | 26    | 34.4  | 46.5    |
|                             | in-dom. | 22.1  | 21.4  | 29.4  | 40.6    |
| CV                          | -       | 42.2  | 34.7  | 45.7  | 58      |
|                             | in-dom. | 31.6  | 27.3  | 37.7  | 49.4    |
| LS-960                      | -       | 36.9  | 32.7  | 42.7  | 58.3    |
|                             | in-dom. | 24.4  | 24.6  | 33.5  | 45      |
| SB+FSH                      | -       | 35.7  | 31.6  | 37.0  | 45.3    |
|                             | in-dom. | 28.6  | 26.6  | 32.5  | 41.0    |
| Joint                       | -       | 23.6  | 19.2  | 25.5  | 35.0    |
|                             | in-dom. | 17.9  | 16.1  | 21.9  | 31.4    |
|                             | CC      | 20.6  | 15.8  | 21.7  | 31.2    |
| Joint<br>+ finetune RV-1h   | -       | 22.5  | 18.4  | 23.6  | 34.3    |
|                             | in-dom. | 16.7  | 15.2  | 21.2  | 30.3    |
|                             | CC      | 19.5  | 15.0  | 20.9  | 30.1    |
| Joint<br>+ finetune RV-10h  | -       | 20.8  | 17.1  | 23.4  | 33.0    |
|                             | in-dom. | 15.7  | 14.6  | 20.5  | 29.8    |
|                             | CC      | 18.5  | 14.1  | 20.2  | 29.5    |
| Joint<br>+ finetune RV-100h | -       | 18.9  | 15.5  | 21.2  | 31.4    |
|                             | in-dom. | 14.3  | 13.3  | 18.7  | 28.2    |
|                             | CC      | 16.8  | 12.9  | 18.2  | 27.7    |



# Conclusion

- Are our models robust enough?
- Robustness ... Generalisation ... mismatch
- AM: Transformer + CTC
- LM: n-gram (1<sup>st</sup> pass) & Transformer (2<sup>nd</sup> pass); generic CC
- Generalisation from (single/joint) research to real-world
  - TD-LIUM, SwitchBoard transferable to real-world

# The History of Speech Recognition to the Year 2030

Awni Hannun\*

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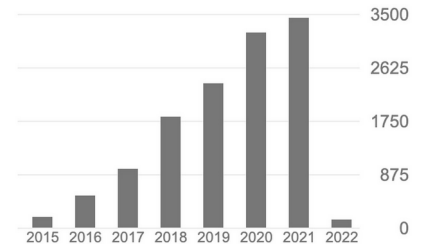


- \* Distinguished Scientist at Zoom
- \* Facebook AI Research (FAIR)
- \* Baidu's Silicon Valley AI Lab
- \* PhD in Stanford University, advised by Andrew Ng

Take a look at his [blog](#)

Cited by

|           | All   | Since 2017 |
|-----------|-------|------------|
| Citations | 12856 | 11990      |
| h-index   | 26    | 24         |
| i10-index | 31    | 31         |



16, January, 2022



# 2010-2020

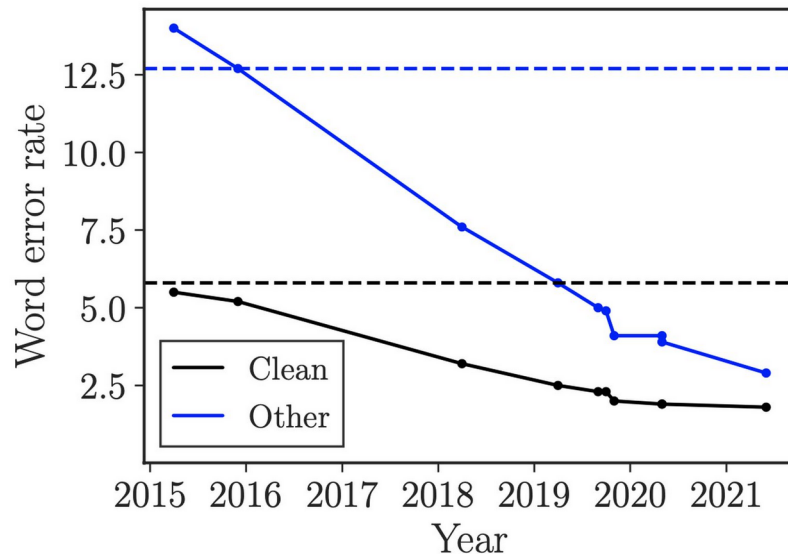
## Remarkable Improvement in ASR

- 2010 – 2020 → dramatic ASR improvement
- What we can expect over the coming decade?
  - What is left?

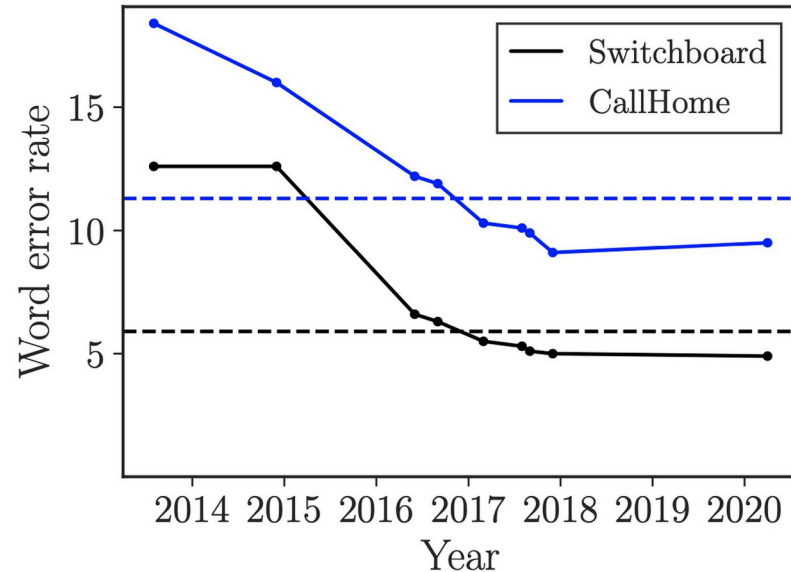




# ASR vs HSR over time



(a) LibriSpeech

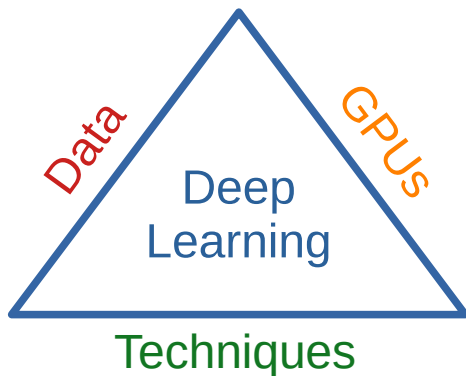
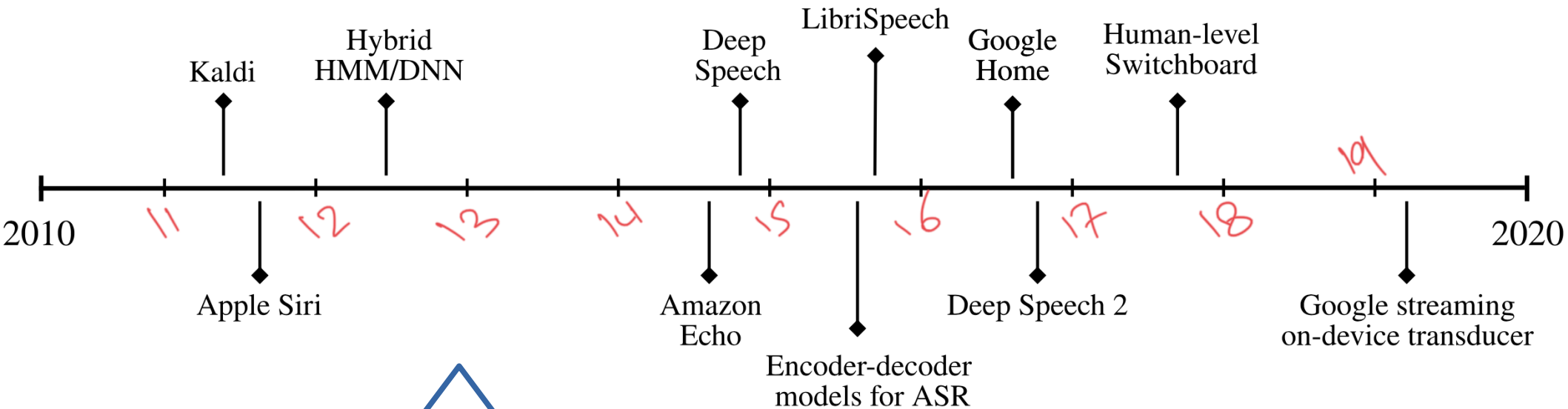


(b) Switchboard Hub5'00

\* Dash lines: Human-level performance (professional transcriber)

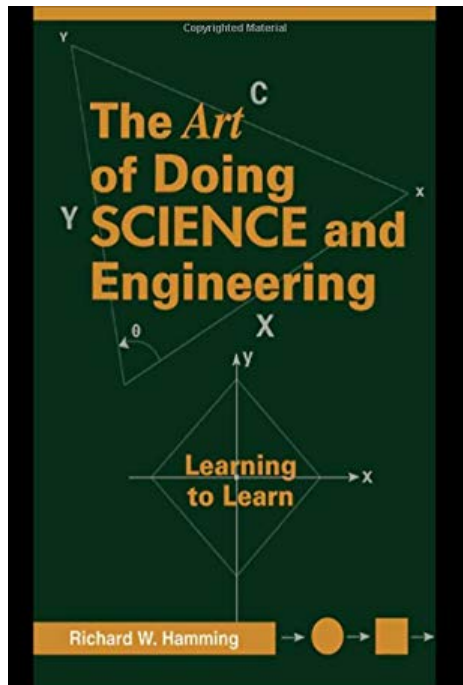
\* What is left if ASR is better than HSR?

# Timeline of major developments in ASR



# Richard Hamming

Publish in 1997



*The history of Computing to the Year 2000*  
R. Hamming 1960

*1968 ACM Turing Lecture*  
**One Man's View of Computer Science**  
R. W. HAMMING  
*Bell Telephone Laboratories, Inc., Murray Hill, New Jersey*

**The Unreasonable Effectiveness of Mathematics**  
R. W. Hamming  
*The American Mathematical Monthly*, Vol. 87, No. 2. (Feb., 1980), pp. 81-90



*Richard Hamming*  
(1915 – 1998)

[Biography](#)

[Quotes](#)

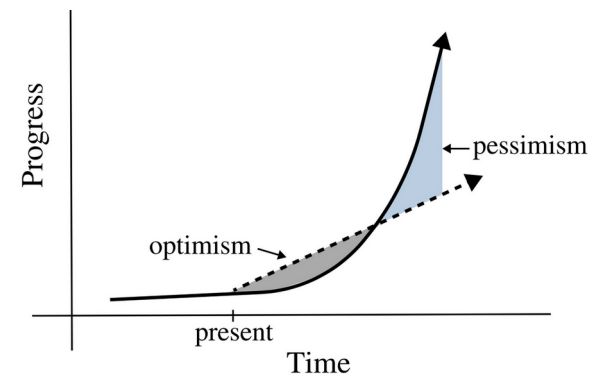
[Video Lectures](#) in YouTube  
(1995)

# Hamming's Predictions

- *... by 2020 it would be fairly universal practice for the expert in the field of application to do the actual program preparation rather than have experts in computers do the program preparation.*
- *NN ... represent a solution to the programming problem ... will probably play a large part in the future of computing*
- Pre-valence of
  - general-purpose rather than special-purpose hardware
  - digital over analog
  - high-level programming languages
  - fiber optic rather than copper wires
  - ...

# Hamming was very good in predicting the future ... How

- Technology forecasting is **challenging** ...
- **Practice** → Friday afternoons ... “great thoughts” ... mused on the future
- **Mastering the fundamentals** → depth and breadth
- **Open-minded**

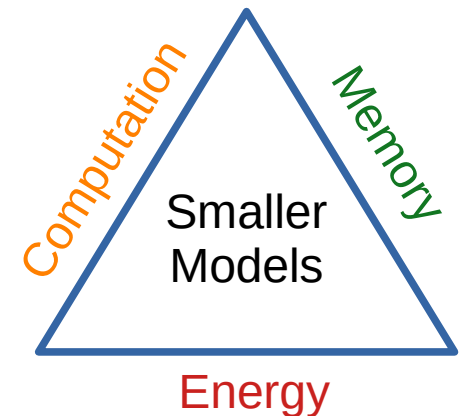


# Research Prediction (1)

- Semi-supervised and self-supervised Learning are here to stay
- Goal: Leveraging unannotated data
- Approaches: Pseudo-labelling, CPC, etc.
- Challenges: scale (accessibility) + details
  - ... Shift from research labs to engineering organizations
- Research implications:
  - Lighter-weight models, optimisation (faster training), incorporation of prior knowledge (for sample efficiency)

# Research Prediction (2)

- ASR on/at the device/edge
- Why edge processing is important?
  - Data privacy ... training+inference on device
  - Lower Latency + 100% availability [w/o internet]
- Research implications:
  - Sparsity [lottery ticket hypothesis, etc.]
  - Knowledge Distillation [directly]
  - Quantization



# Research Prediction (3)

- ~~Improved WER on benchmark X with mdl/arch Y~~
  - Saturated on academic benchmark
    - Scale will solve new challenging tasks, too!
  - Practical value of low WER (*correlation*)
    - Low  $WER_{\text{academic}} \stackrel{?}{\rightarrow}$  Low  $WER_{\text{real-world}}$
    - Other quality metrics  $\leftrightarrow$  human understanding
      - e.g., semantic error rate



# Research Prediction (4) & (5)

- Transcription replaced with richer representations for downstream tasks, e.g. lattice/graph
- Personalisation to individual users
  - Leveraging context (topic, history, background, visual cues, facial expressions, etc.)
  - Narrow down the scope ... underrepresented in training data
- On-device personalisation ... on-devices trainable/customisable ... user/context

# Application Prediction (1) & (2)

- 99% of transcription with ASR
- Voice assistants get better (incrementally, not fundamentally)
  - ASR is no longer a bottleneck
  - New bottlenecks: language understanding
    - How to maintain a conversation, etc.
- What is left?
  - A lot left to build ASR that works all the time, for everyone!

# Summary

**Table 1:** Predictions for the progress in speech recognition research and applications by the year 2030.

---

| Prediction  |
|---|
| Self-supervised learning and pretrained models are here to stay.                            |
| Most speech recognition (inference) will happen at the edge.                                |
| On-device model training will be much more common.  |
| Sparsity will be a key research direction to enable on-device inference and training.       |
| Improving word error rate on common benchmarks will fizzle out as a research goal.          |
| Speech recognizers will output richer representations (graphs) for use by downstream tasks. |
| Personalized models will be commonplace.  |
| Most transcription services will be automated.  |
| Voice assistants will continue to improve, but incrementally.                               |

---



# That's It!

- Thanks for your attention!
- Q/A

